

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: _____

HOME: 212-737-8030

Taxpayer ID Number (Social Security No.)



Account Number: 1ZA567

CAROL SILVERSTEIN
55 EAST END AVENUE
NEW YORK, NY 10028

74 D3
REDACTED

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:
- The Broker owes me a Credit (Cr.) Balance of \$ _____
- I owe the Broker a Debit (Dr.) Balance of \$ _____

- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."
If you wish to make a payment, it **must be enclosed**
with this claim form. \$ _____

- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities | <u>✓</u> | |
| b. I owe the Broker securities | | |
| c. If yes to either, please list below: | | |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
	<u>SEE ACCOUNT STATEMENT DATED</u>		
	<u>NOVEMBER 30, 2008 ACCOUNTING</u>		
	<u>THIS CLAIM</u>		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✱

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. _____

Please list the full name and address of anyone assisting you in the preparation of this claim form: _____

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date January 7, 2009 Signature Carol Silverstein
Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

ATTACHMENT TO CUSTOMER CLAIM
1ZA567

Carol Silverstein
55 East End Avenue
New York, New York 10028

1. I gave the broker discretion as to the purchase and sale of securities for my account.
2. To the best of my knowledge and based on a review of the records I have maintained, I effected the following withdrawals from the account during the past six years.

August 31, 2008 – \$40,000
November 20, 2008 – \$250,000

I have made contributions to the account from time to time commencing 1994 with the opening of the account in 1992 or 1994 with an investment of more than \$100,000.


Carol Silverstein



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

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Affiliated with
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12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

PERIOD ENDING
11/30/08

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YOUR ACCOUNT NUMBER
1-LA567-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
*******7485**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	504		1069	BALANCE FORWARD		51,470.64	
11/12	360		1571	WELLS FARGO & CO NEW	29.800	15,039.20	
11/12	312		5395	HEWLETT PACKARD CO	34.900	12,578.00	
11/12	204		5897	WAL-MART STORES INC	55.830	17,430.96	
11/12	756		9721	INTERNATIONAL BUSINESS MACHS	87.270	17,811.08	
11/12	828		10223	EXXON MOBIL CORP	72.880	55,127.28	
11/12	396		14549	INTEL CORP	14.510	12,047.28	
11/12	540		18874	JOHNSON & JOHNSON	59.580	23,608.68	
11/12	286		23200	J.P. MORGAN CHASE & CO	38.530	29,827.20	
11/12	168		27526	Coca Cola CO	44.660	12,873.08	
11/12	312		31852	MCDONALDS CORP	55.370	9,308.16	
11/12	1,140		36178	MERCK & CO	28.550	8,919.60	
11/12	576		40504	MICROSOFT CORP	21.810	24,908.40	
11/12	228		53482	ORACLE CORPORATION	17.300	9,987.80	
11/12	132		53984	PEPSICO INC	56.410	12,870.48	
11/12	972		57808	APPLE INC	100.700	13,307.96	
11/12	228		58310	Pfizer INC	16.940	16,503.68	
11/12	432		62134	ABBOTT LABORATORIES	54.610	12,460.08	
11/12	156		62636	PROCTER & GAMBLE CO	64.080	27,699.56	
11/12	300		66460	AMGEN INC	59.160	9,234.96	
11/12	720		66962	PHILLIP MORRIS INTERNATIONAL	43.600	13,092.00	
11/12	240		70786	BANK OF AMERICA	21.590	15,572.80	
11/12	780		71268	QUALCOMM INC	33.770	8,113.80	
11/12				CITI GROUP INC	12.510	9,788.80	
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



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11/30/08

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1-2A567-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
*****7485

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	180		75112	SCHLUMBERGER LTD	49.480	8,913.40	
11/12	432		75614	COMCAST CORP CL A	16.510	7,149.32	
11/12	852		79438	AT&T INC	27	23,038.00	
11/12	216		79940	CONOCOPHILIPS	52.510	11,350.16	
11/12	144		83764	UNITED PARCEL SVC INC	52.040	7,498.76	
11/12	876		84266	CLASS B			
11/12	252		88090	CISCO SYSTEMS INC	16.730	14,690.48	
11/12	300		88592	U S BANCORP	29.530	7,451.56	
11/12	144		92416	CHEVRON CORP	73.430	22,041.00	
11/12	1,524		92918	UNITED TECHNOLOGIES CORP	53.160	7,660.04	
11/12	408		96742	GENERAL ELECTRIC CO	19.630	29,976.12	
11/12	36		97244	VERIZON COMMUNICATIONS	30.410	12,423.28	
11/12		575,000	23676	GOOGLE	337.400	12,147.40	
				U S TREASURY BILL DUE 2/12/2009	99.936		
11/12				2/12/2009			
				FIDELITY SPARTAN	DIV		
11/12		12,179	18779	U S TREASURY MONEY MARKET DIV 11/12/08	1		
11/12	40,939		28147	FIDELITY SPARTAN	1	40,939.00	
11/12				U S TREASURY MONEY MARKET			
11/12				FIDELITY SPARTAN			
11/12				U S TREASURY MONEY MARKET			
11/12				FIDELITY SPARTAN			
11/12				U S TREASURY MONEY MARKET			
11/12				DIV 11/19/08			
11/12				CONTINUED ON PAGE 3			

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		40,939	53665	FIDELITY SPARTAN	1		40,939.00
11/19	75,000		58143	U S TREASURY MONEY MARKET	99.926	74,944.50	
				U S TREASURY BILL			
				DUE 03/26/2009			
11/19	1,952		62701	FIDELITY SPARTAN	1	1,952.00	
				U S TREASURY MONEY MARKET			
				NEW BALANCE		84,998.70	
				SECURITY POSITIONS	MKT PRICE		
	852			AT&T INC	28.560		
	228			ABBOTT LABORATORIES	52.390		
	156			AMGEN INC	55.540		
	132			APPLE INC	92.670		
	720			BANK OF AMERICA	16.250		
	300			CHEVRON CORP	79.010		
	876			CISCO SYSTEMS INC	16.540		
	780			CITI GROUP INC	8.290		
	288			COCA COLA CO	46.870		
	432			COMCAST CORP	17.340		
				CL A			
	216			CONOCOPHILIPS	52.520		
	756			EXXON MOBIL CORP	80.150		
	1,524			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

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Exhibit A



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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	408 312 504			VERIZON COMMUNICATIONS WAL-MART STORES INC WELLS FARGO & CO NEW MARKET VALUE OF SECURITIES LONG 605,629.73 SHORT	32.650 55.880 28.890		

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08-01789-3cm

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			6,010.44 4,895,010.44

6,010.44
4,895,010.44

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Exhibit A

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1-2A567-4-0

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08-01789

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11/12		12	44830	BALANCE FORWARD			51,431.
				S & P 100 INDEX	15.800		18,968.
11/12	12		49156	NOVEMBER 460 CALL		21,372.00	
				S & P 100 INDEX	17.800		
11/19		12	35260	NOVEMBER 450 PUT	26		31,168.
				S & P 100 INDEX	30	36,012.00	
11/19	12		39585	DECEMBER 430 CALL			
				S & P 100 INDEX	3	3,612.00	
11/19	12		43910	DECEMBER 420 PUT			
				S & P 100 INDEX	37		
11/19		12	48235	NOVEMBER 460 CALL			44,388.
				S & P 100 INDEX			
				NOVEMBER 450 PUT			84,950.
				NEW BALANCE			
				SECURITY POSITIONS			
				S & P 100 INDEX	MKT PRICE		
				DECEMBER 430 CALL	23.300		
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
				MARKET VALUE OF SECURITIES			
				LONG			
				19,800.00			
				SHORT			
				27,960.00-			

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Exhibit A